

Composite indicator for monitoring of Norway spruce stand decline using remote sensing methods

Olga Brovkina¹, Emil Cienciala², Frantisek Zemek¹, Jan Hanuš¹

1 - Global Change Research Institute, Czech Republic;

2 - IFER - Institute of Forest Ecosystem Research, Czech Republic

Forest decline

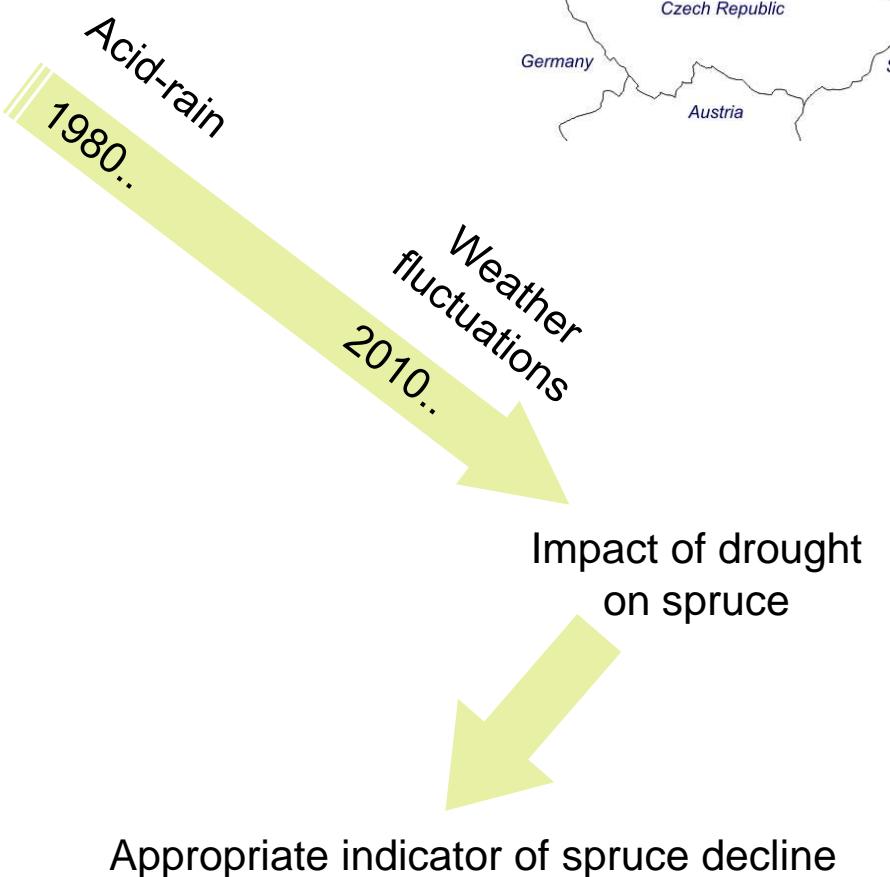
is a complex disorder involving abiotic and biotic stresses on a forest stand that results in a slow, progressive decrease in growth with loss of health and vigor

Study area



0 1 2 km

Study area



State of the art

Forest decline indicators detected by *in situ* assessment* related to

Tree damage

Tree mortality

Crown condition
(discoloration, defoliation)

Stand structure

Selected individual forest decline indicators based on airborne and satellite data**

Biochemical parameter
(chlorophyll concentration)

Dead trees

Crown condition
(discoloration, defoliation)

Metal concentration
in leaves

* National Forest Inventory NFI, forest health monitoring programs (ICP Forests, FHM, Forest Europe)

** Mišurec et.al. 2012, Heurich et al. 2010, Crosby et al. 2012, Tuominen et al. 2009, Campbell et al. 2004, Solberg 2010, Lausch et al. 2016

State of the art

Forest decline indicators detected by *in situ* assessment* related to

Tree damage

Tree mortality

Crown condition
(discoloration, defoliation)

Stand structure

Selected **individual** forest decline indicators based on airborne and satellite data**

Biochemical parameter
(chlorophyll concentration)

Dead trees

Crown condition
(discoloration, defoliation)

Metal concentration
in leaves

Composite indicator - ?

* National Forest Inventory NFI, forest health monitoring programs (ICP Forests, FHM, Forest Europe)

** Mišurec et.al. 2012, Heurich et al. 2010, Crosby et al. 2012, Tuominen et al. 2009, Campbell et al. 2004, Solberg 2010, Lausch et al. 2016

Objectives

- to determine the indicators of spruce decline from field which will form a composite indicator;
- to explore spectral reflectance properties of spruce stands for categories of the composite spruce decline indicator;
- to investigate the potential of vegetation indices extracted from time-series hyperspectral airborne and multispectral satellite data to predict the development of the composite spruce decline indicator.

Field data



Indicator	Description	Range within study area, %					
		2010 (n = 62)		2013 (n=78)		2015 (n=27)	
		Range	Mean	Range	Mean	Range	Mean
Dead trees	Fraction of dead trees	0..41	6	0..50	7	0..35	11
Broken trees	Trees with mechanical or wind break	0..43	8	0..44	9	0..35	8
Resin exudation	Trees with honey fungus resin exudation	0..18	5	0..44	8	0..21	6
Discoloration	Decreasing chlorophyll concentration causing color changes in foliage	0..33	10	0..33	7	0..23	5
Dry tree top	Fraction of trees with dry tree tops	0..40	10	0..33	10	0..18	9
Reduced increment	Reduced increment of top shoots	-	-	0..66	20	0..45	13
IUFRO vitality	Categorization based on visual classification of 10 trees per plot, distinguishing vital individuals, normal/average grown trees, and weak/suppressed trees	0..50	20	0..33	10	0..67	9

Remote Sensing data



Satellite multispectral

MODIS
Landsat ETM
Sentinel -2



Airborne hyperspectral

Study area



Date	Sensor	Spectral range [μm]	Number of spectral bands	Spatial resolut. [m]
------	--------	---------------------	--------------------------	----------------------

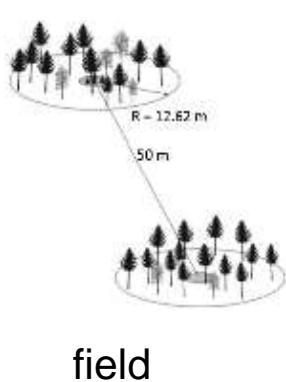
Airborne data

10.8.2010	HyMap	0.45..2.49	125	5
8.9.2013	AISA	0.40..1.99	65	5
5.6.2015	CASI	0.37..1	72	1

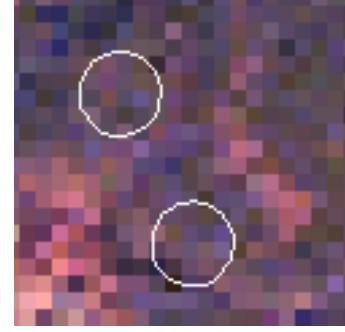
Satellite data

2010	Landsat 5	0.45..2.35	6	30
2013	Landsat 8	0.45..2.29	6	30
2015	Landsat 8	0.45..2.29	6	30
7.8.2015	Sentinel-2	0.49..2.19	9	20
2015	MODIS	0.45..2.16	7	250

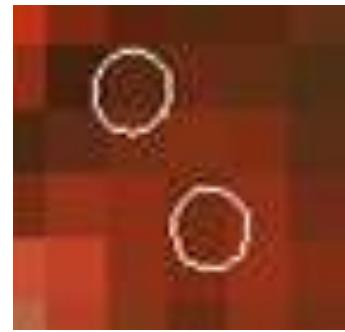
Remote Sensing data



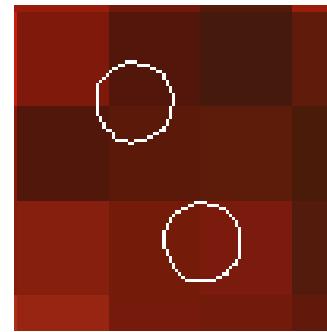
1 m



5 m



20 m



30 m

Workflow

1 Indicators of spruce health from field survey



- Dead trees →
- Crown break →
- Resin exudation →
- Discoloration →
- Dry tree top →
- Reduced increment →
- IUFRO vitality →

2 Analysis of spectral reflectance of RS data



3 Determination of Composite Indicator

Dead trees

CI4

Discoloration

Dry tree top

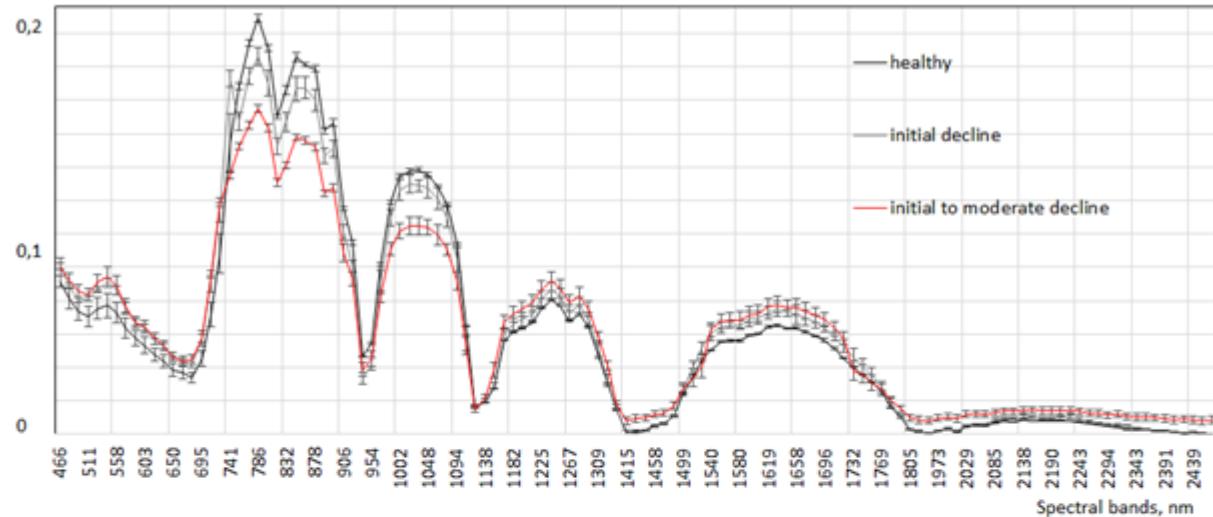
IUFRO Vitality

- 4 Analysis of CI4 classes from RS data:
- healthy
 - initial decline
 - initial to moderate decline

- 5 Analysis of CI4 changes based on RS data:
- VI_s Structure(5)
 - Biochemistry(8)
 - Stress(4)
 - Ecosystem(1)

Decline categories of composite indicator

Reflectance (-)

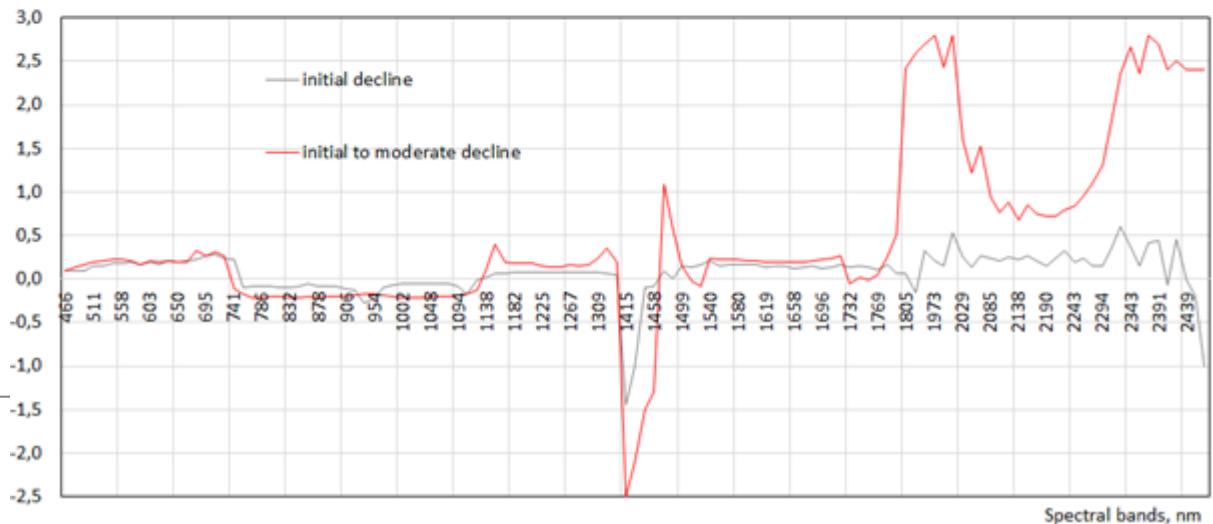


healthy

initial decline

initial to moderate decline

Sensitivity (-)



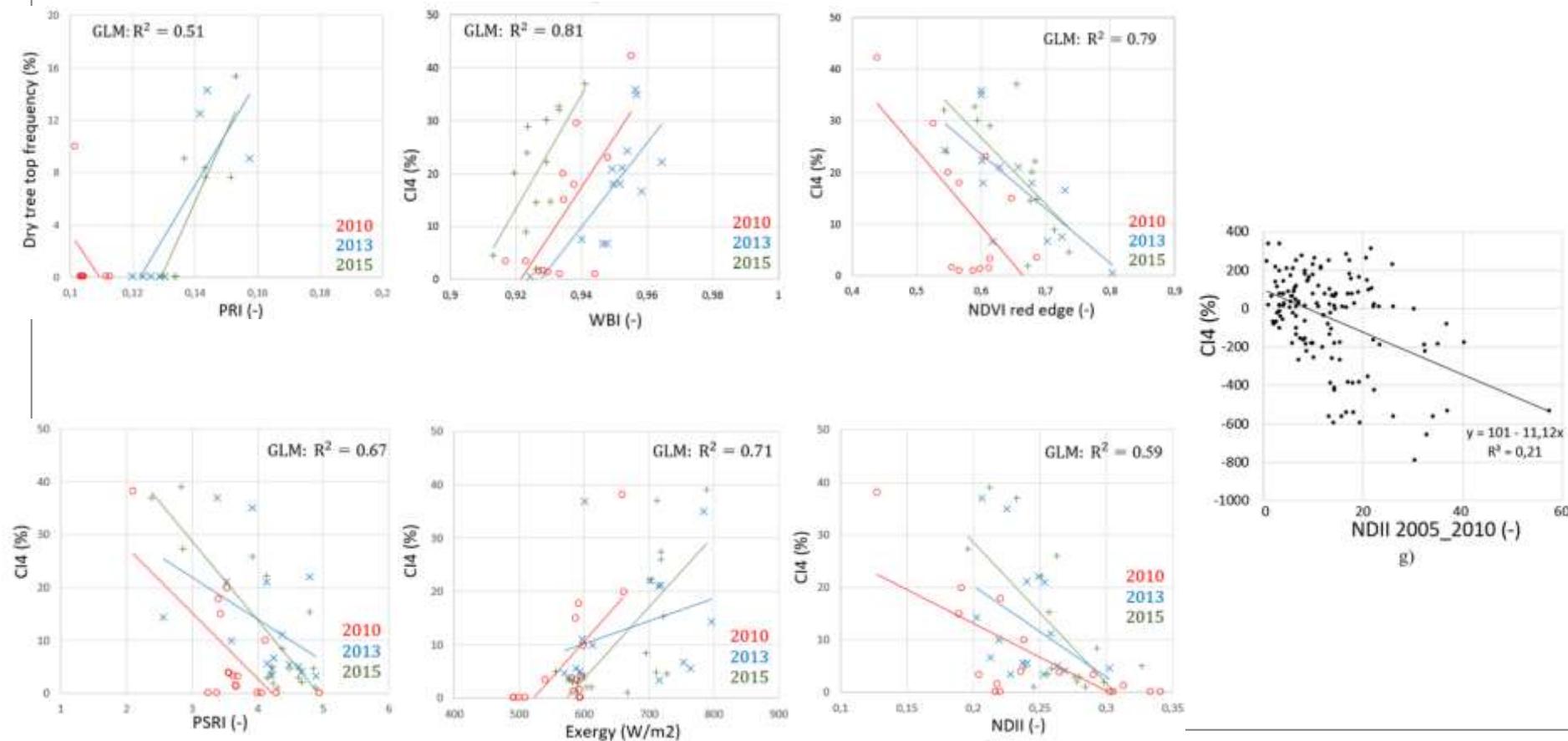
Healthy

Initial

Initial to moderate decline

VI	Equation	Data used	Reference	R ²
Vegetation structure				
NDVI_{red_edg}	$(\rho_{750}-\rho_{705})/ (\rho_{750}+\rho_{705})$	HS	Gitelson and Merzlyak 1994	0.57
NDVI	$(\rho_{820}-\rho_{650})/ (\rho_{820}+\rho_{650})$	MS	Rous et al. 1974	0.39
SR	ρ_{820}/ρ_{650}	MS	Birth et al. 1968	0.21
GNDVI	$(\rho_{820}-\rho_{550})/ (\rho_{820}+\rho_{550})$	MS	Gitelson et al. 1998	0.09
GRVI	ρ_{820}/ρ_{550}	MS	Sripada et al. 2006	0.05
Biochemistry				
PSRI	$(\rho_{680}-\rho_{500})/ \rho_{750}$	MS	Merzlyak et al. 1999	0.71
WBI	ρ_{970}/ρ_{900}	HS	Penuelas et al. 1995	0.63
SIPI	$(\rho_{800}-\rho_{445})/ (\rho_{800}-\rho_{680})$	HS	Penuelas et al. 1995	0.02
MSI	ρ_{1620}/ρ_{820}	MS	Hunt et al. 1989	0.36
CARI	$(\rho_{700}-\rho_{670})-0.2*(\rho_{700}-\rho_{550})$	HS	Kim 1994	0.14
ARI_NIR	$\rho_{800} \times (1/\rho_{550} - 1/\rho_{700})$	MS	Gitelson et al. 2001	0.06
NDMI	$(\rho_{860} - (\rho_{1640}-\rho_{2130})) / \rho_{860} + (\rho_{1640}-\rho_{2130})$	MS	Wang et al. 2007	0.34
NDWI	$(\rho_{857}-\rho_{1241}) / (\rho_{857}+ \rho_{1241})$	HS	Gao 1996	0.41
Phisiology/stress				
PRI	$(\rho_{531}-\rho_{570}) / (\rho_{531}+ \rho_{570})$	HS	Gamon et al. 1997	0.42
NDII	$(\rho_{819}-\rho_{1649})/ (\rho_{819}+\rho_{1649})$	MS	Hardisky et al. 1983	0.57
CRI_550	$(1/\rho_{515})-(1/\rho_{550})$	HS	Gitelson et al. 2003, 2006	0.18
CRI_700	$(1/\rho_{515})-(1/\rho_{700})$	HS		0.17
Ecosystem state				
Exergy	$E_x = E^{out} \left(K + \ln \frac{E^{out}}{E^{in}} \right) + B$	MS	Jorgensen and Svirezhev, 2004	0.57

Relationships between CI4 and selected VIs across the entire time period



Conclusion

- dead tree, discoloration, dry tree top and IUFRO vitality were composed to CI4;
- sensitivity peaks for decline categories of CI4 were explored;
- PRI, WBI, NDVI, PSRI, NDII and exergy demonstrated a good potential to estimate CI4;
- use of airborne hyperspectral vegetation indices (VIs) was shown to be more efficient in matching composite spruce decline indicator CI4 than the satellite VIs.

Thank You for Your attention!